

ARIZONA CODE OF JUDICIAL ADMINISTRATION
Proposal Cover Sheet

Section 1-302: Education and Training

1. **Effect of the proposal:** The proposed code section will incorporate the contents of Administrative Order No. 99-08 (attached) and its accompanying guidelines into the Arizona Code of Judicial Administration. This code section will govern educational requirements for all Arizona court staff.

2. **Significant new or changed provisions:**
 - Definitions added:
 - on-call staff
 - temporary staff
 - qualifications for program accreditation
 - Automatic exemption from compliance for temporary and on-call staff
 - Staff orientation must be complete within 90 days of hire
 - Orientation shall include ethics appropriate to the job
 - Independent Learning
 - Writing articles qualifies for independent learning credit
 - Eight hour maximum in CY for combination of ALL independent learning
 - Written procedure to appeal denial of COJET credit at the local level
 - Allows training coordinator to accredit “special status college courses”
 - Allows chief justice, chief judge, or superior court presiding judge to assign designee authority to grant exemptions from compliance
 - Clarifies “qualifications for exemption” of compliance
 - Changes deadline for annual report to January 31 (current deadline is January 15)
 - Condenses five core curriculum into two:
 - Arizona court system, and
 - Working and communicating with others
 - Training coordinators must attend new training coordinator orientation
 - Financial assistance for staff, as available; and procedure to apply

Specifics to probation personnel:

- Required to complete core curriculum training
- References ACJA Chapter 6 for officer safety and firearms training requirements
- Medical waivers for defensive tactics or defensive tactics refresher
- Deleted stress management and time management from probation officer certification
- New adult and juvenile officers shall complete defensive tactics and officer safety in accordance with ACJA § 6-107
- Intensive probation and surveillance officers complete intensive probation supervision
- Officers, trainers, management staff complete defensive tactics refresher and firearms training, if applicable.

3. **Committee actions and comments:** (Summarize comments and responses)

This code section was circulated for review and comment to:

- Committee on Probation
- Limited Jurisdiction Courts Committee
- Committee on Superior Court
- Committee on Juvenile Court
- Limited Jurisdiction Court Administrators Association
- Arizona Superior Court Administrators Association
- Superior Court Presiding Judges.

The committees' comments are attached.

4. **Controversial issues:** Controversial issues, as well as COJET's recommendation for resolution of the issues, are listed in the attached comment sheet.
5. **Recommendation:** COJET recommends the Arizona Judicial Council approve ACJA § 1-302, as submitted, with a proposed effective date of January 1, 2007.

**Comments and Responses to ACJA Section 1-302
Judicial Officers and Employees Education and Training**

PARAGRAPH	COMMENT	RESPONSE
A, Paragraph 32	COSC recommended a more definitive definition of temporary personnel. Superior Court Presiding Judges suggested “ <i>means court staff who serve for a limited time, are contracted through a private employment agency, have an established ending date of employment, or as defined by the chief justice, chief judge or the superior court presiding judge of each county.</i> ”	Recommendation adopted by COJET and language amended.
E.6.d.	ASCAA recommended that computer-based training programs be excluded from independent learning.	Recommendation considered by COJET and language remained as submitted.
H.1	<p>COP recommended that Chief Probation Officers and Juvenile Court Directors have authority to approve exemptions from COJET compliance.</p> <p>COSC approved the proposed code section as presented, but requested the issue of exemption authority be presented to the Superior Court Presiding Judges for review and comment.</p> <p>The Superior Court Presiding Judges recommended the following change:</p> <p><i>“Exemptions may be obtained from chief justice, chief judge, superior court presiding judge of each county, or their designees for temporary circumstances, including but not limited to...”</i></p>	Adopted language proposed by Superior Court Presiding Judge
K.5.d.	ASCAA recommended court administrators, managers and directors attend at least one out-of-state program conducted by an established nationally recognized training organization	Recommendation adopted by COJET and language amended.
L.9.11.	ASCAA recommended the addition of National Association for Court Managers for nationally recognized programs for chief probation officers.	Recommendation adopted by COJET and language amended.

ARIZONA CODE OF JUDICIAL ADMINISTRATION
Part 1: Judicial Branch Administration
Chapter 3: Judicial Officers and Employees
Section 1-302: Education and Training

A. Definitions. In this section, the following definitions apply:

“Accredited program” means any formal educational activity approved by COJET, education services division or the local training coordinator.

“College course” means any formal education at an accredited institution for higher learning in which the coursework has a direct relationship to the Arizona judicial system or the individual employee’s job responsibilities.

“Committee-accredited sponsor” means an individual or organization in Arizona with a five-year track record in sponsoring continuing education programs, that has applied for and been granted this status by COJET.

“Committee or COJET” means Committee on Judicial Education and Training, a subcommittee of the Arizona Judicial Council.

“Continuing education” means training or education that leads to improved job-related skills, knowledge or abilities, or specialized skills that enhance the ability to perform the assigned job functions.

“Core curricula” means classes that satisfy the recommended courses of study adopted by COJET for all non-judge personnel in the Arizona court system.

“County training coordinator” means the local training coordinator designated in each county to compile all reports of compliance for review by the presiding judge and submission to education services at the end of calendar year.

“Credit hour” means an increment of time and quality of continuing education determined by COJET to constitute one credit toward the COJET educational requirements. In most instances, 60 minutes of education equals one credit hour.

“Education Services” means a division of the Administrative Office of the Courts (AOC) responsible for planning and implementing education for the judiciary, accrediting statewide and national programs, and overseeing a statewide network of training coordinators.

“Ethics training” means a training session dealing with issues related to appropriate personnel behavior, codes of conduct, fair treatment in the courts, or avoiding the occurrence or perception of impropriety in carrying out responsibilities. A session does need not be entitled ethics training to meet these criteria, as long as subject matter is ethics-related.

“Facilitator” means a specifically trained individual assisting faculty by leading local or small group activities that take place as part of a larger program

“Faculty” means an individual who plans, prepares, and presents an education program. This definition includes individuals who serve as moderator or coordinator of a panel, and individuals who perform one-to-one training activities as long measurable educational outcomes are created and pre-planning occurs.

“Faculty skill development” means a training program designed to foster quality adult education in Arizona courts, build a network of trainers at all levels of the judiciary, bring educational opportunities to the local level, and promote effective use of educational resources.

“Hearing officer, paid,” means an individual paid by the court to serve as a civil traffic or small claims hearing officer.

“Hearing officer, volunteer” means an individual who serves voluntarily as civil traffic or small claims hearing officer.

“Independent learning” means an individual study program accompanied by written or verbal feedback evaluating the program. Independent learning programs include videotape, audiotape, writing, ride-alongs, tours, or computer-based training programs.

“Judicial education” means continuing professional education for judges, probation and court personnel.

“Judge” means a judge, commissioner, or referee employed on a regular full-time or part-time basis.

“Judges pro tempore” mean officers of the court who have all of the duties and responsibilities of a judge employed on a regular full-time, part-time, or on-call basis.

“Local training coordinator” means the person designated in each court or department to coordinate continuing judicial education. This person keeps records, announces available training programs, accredits local programs, documents compliance with the standards, coordinates local training programs and may provide training to local court personnel.

“Local training program” means a continuing education program offered for employees of the court/department within the county of employment.

“On-call personnel” means employees who are available when summoned for service, do not have an established work schedule and whose schedule is on an as-needed basis.

“One-to-one training” means an individual training program formally planned which has stated educational outcomes and reference materials, and is not an orientation to the job duties for which the learner was hired.

“Orientation” means knowledge and skills necessary to begin the job and shall include ethics appropriate to the job.

“Prison/Jail Tour” means a tour of a federal, state or county operated detention facility that has stated educational outcomes and accompanied by verbal explanation from the tour guide.

“Probation personnel” means probation officers, surveillance officers, detention officers, youth supervisors, support staff, and any other staff assigned to probation departments and juvenile courts.

“Probation ride-along” means a ride-along with an experienced probation officer or surveillance officer that has stated educational outcomes and accompanied by verbal explanation from the accompanying officer.

“Regional, state or national training program” means continuing education program sponsored by an individual or organization and open to individuals in a large geographic area (for example, National Judicial College, National Center for State Courts, Institute for Court Management, private training institutions, etc.).

“Special status” means a college-based curricula design granted special status based on its applicability to the court system and its personnel.

“Standards” means the educational policies adopted by the supreme court that apply to all full-time and part-time judges, probation, and court personnel.

"Temporary personnel" means court staff that serve for a limited time, are contracted through a private employment agency, have an established ending date of employment, or as otherwise defined by the chief justice, the chief judge, the presiding judge of the superior court in each county, or their designee.

B. Applicability. The following standards govern the training and education of all judges, probation and court personnel in Arizona. The requirements and guidelines for accreditation and compliance with continuing judicial education and training are contained herein.

C. Purpose. The protection of the rights of free citizens depends upon the existence of an independent and competent judiciary. The task of maintaining judicial competence depends on the willingness of the judiciary itself to assure that its members are knowledgeable and skilled in the study of the law and its development, and that judges are trained in the application of legal principles and the art of judging. The personnel employed within the judicial system must also maintain a high level of competence to assist judges in carrying out their responsibilities and to provide accurate and timely services to the public. To the end that judicial independence and competence might be preserved and reinforced as essential elements of our system of government, the supreme court established an educational system for all judges and employees of the Arizona judicial department in November 1983.

D. Organization.

1. Director of the Administrative Office of the Courts. Under the direction of the supreme court, the administrative director of the Administrative Office of the Courts (AOC) shall prepare guidelines for the implementation of the standards, and shall develop, administer, and coordinate judicial education programs throughout the state. The Committee on Judicial Education and Training (COJET) and the staff of the education services division of the AOC shall assist the director in this effort. The director shall inform all judges and court employees of the court's educational policies and standards and shall notify judges that orientation before or within twelve months of appointment or election and continuing judicial education are necessary in order to obtain and maintain professional competence. The director shall also provide state and local governments and courts with information concerning judicial education in order to bring about recognition of the need to support judicial education.
2. Education Services Division. The education services division of the AOC shall:
 - a. Prepare and implement an annual judicial education plan;
 - b. Coordinate all judicial education programs;
 - c. Staff COJET and its formal subcommittee meetings;
 - d. Conduct faculty development programs;
 - e. Evaluate educational programs;
 - f. Provide guidance to judges and judicial employees in the selection of appropriate educational opportunities;
 - g. Develop a network of trainers throughout the courts; and
 - h. Prepare an annual report on educational activities in the courts.
3. COJET. COJET shall oversee all standards, policies and procedures governing accreditation and compliance with judicial education in Arizona.
4. Training coordinators. Each court or department shall designate at least one staff person to serve as the local training coordinator for continuing judicial education. This person shall maintain records, provide opportunities for continuing education and training, accredit local programs, document compliance with the standards, coordinate local training programs and may provide training to local court personnel.
 - a. The courts in counties with a population of over 500,000 shall have a full-time training department supervised by a qualified employee at the supervisory or higher management level. The training staff shall dedicate all of their time to training and

- training-related activities. Every training officer shall complete a minimum of sixteen hours of planned training including ethics training, core curricula and train-the-trainer activities each year.
- b. The courts in counties with a population of less than 500,000 may have a full-time training department. They may also send their employees to training programs offered by larger counties or to other available training programs. Every agency without a full-time training department should appoint a training coordinator who may have duties other than training.
 - c. Any staff member, including the full-time training staff, who conducts an approved, planned training program, may receive up to eight hours of training credit per year for serving in a trainer or faculty capacity.
 - d. All courts and departments shall establish and maintain an accurate set of training records. The training supervisor or coordinator shall be responsible for those records.
5. Faculty. Faculty shall be selected based on subject matter expertise, faculty training, and creditability.
- a. Basic approach. Education and training programs shall emphasize the use of modern adult education principles that focus on participative learning. Faculty members shall be familiar with effective teaching techniques based on these principles.
 - b. Faculty skill development. To the extent permitted by available resources, faculty members shall receive instruction in adult education methods and assistance in preparing presentations and materials prior to teaching in a court-sponsored program.
 - c. Qualifications. The education faculty consists primarily of judges, members of the legal community, and court personnel who have expertise in the curriculum, knowledge of adult education principles, and the ability to prepare and present educational material effectively. Authorities from other disciplines shall be used when their expertise will contribute to the goals of a specific program.
 - d. Adult education principles. Faculty members are encouraged to use the following principles in their presentations:
 - (1) Creating a dialog with participants by encouraging their input concerning substantive areas;
 - (2) Asking participants questions to evaluate their comprehension and learning; and
 - (3) Providing interactive experiences as hypotheticals, mock hearings, and the like.

E. Program Accreditation. Only programs accredited by COJET, education services, the local training coordinators, or committee-accredited sponsors shall be eligible for COJET credit. Programs need to contribute directly to or have a primary purpose of improving the professional skills, competence, or ethical obligations of judges, probation and court personnel. Thus, such programs shall meet the accreditation requirements described herein.

1. The following requirements must be met to accredit a program:
 - a. The program shall have significant intellectual or practical content. The primary objective shall be to increase the participant's job-related professional competence and skills;
 - b. The program shall constitute an organized program of learning that contributes directly to or has a primary purpose of improving job-related professional competence and skills;
 - c. Programs shall be at least 30 minutes in length to qualify for accreditation. A combination of approved audio/video tapes may earn credit.
 - d. Breaks, non-substantive speeches, and business meetings shall not be included when calculating credit hours.
 - e. Education materials shall be prepared and activities to evaluate learning conducted by an individual or group qualified by practical or academic experience in a setting physically suitable to the educational activity of the program;
 - f. Unless clearly inappropriate for a particular course, participants attending a program shall receive written materials such as handouts, manuals, study guides, flowcharts, or substantial written outlines that pertain to the subject matter of the program. Brief outlines or agenda items are not sufficient to meet the requirements of this paragraph;
 - g. The program sponsor shall keep a record of attendance for each program and shall forward the attendance records, a copy of program materials and program evaluations to the party accrediting the program; and
 - h. The training coordinator must maintain the records of attendance for at least five years after the date of completion of the program.
2. Accredited programs are those that are job-related or relate to the justice system. Programs such as personal financial planning or others that are personally beneficial to the employee but are not job-related are not eligible for COJET credit.
3. Accreditation shall be granted in three ways:
 - a. Local programs. Training coordinators shall accredit a program offered locally for employees in their court or division when they meet the following criteria:

- (1) Prior to the program, the program sponsor or faculty shall submit a proposal with an agenda, time frames and any other supporting materials available. The proposal may be in the form of a letter with appropriate attachment;
 - (2) The local training coordinator shall evaluate the program for substantive value and potential hours of credit;
 - (3) The local training coordinator may accredit the program for a specific number of credit hours; and
 - (4) The sponsor shall, at the conclusion of the program, provide the training coordinator with an attendee list, a compilation of the participants' evaluation of the program, and a copy of any handouts and written materials.
- b. Individual employee programs. Training coordinators shall accredit a program attended by an individual assigned to them when they meet the following criteria:
- (1) Prior to the program, the individual shall submit to the local training coordinator an agenda, time frames, and any other supporting material available;
 - (2) The training coordinator shall evaluate the program for substantive value and potential hours of credit;
 - (3) The training coordinator may accredit the program for a specific number of hours; and
 - (4) The employee shall provide, at the conclusion of the program, the participant's evaluation of the program and a sample copy of any handouts and written materials, agenda, outline or other supporting material, as available.
- c. Regional or statewide programs. COJET or education services shall accredit a program offered to participants from a broad geographical or jurisdictional area. This procedure eliminates the need of each local training coordinator to accredit the same program for their local participants. The procedures for accreditation shall comply with the requirements of subsection (E)(1).
4. Non court-sponsored program. COJET credit may be given to non court-sponsored continuing education programs that meet accreditation guidelines and are applicable to the position the attendee holds in the judicial system or foster court-related career growth. Prior approval from a supervisor and training coordinator recommended.
 5. Dual accreditation of classes. A class may be accredited for both ethics and core curricula requirement at the state or local level if the class is more than two hours in length.
 6. Independent learning. The training coordinator may accredit independent learning programs. All activities shall meet accreditation requirements. A maximum of eight COJET hours in a calendar year may be granted for any combination of the following independent learning programs:

- a. Written articles or materials relevant to the judiciary. COJET hours may be granted for writing articles or other materials beyond the normal scope of the job position with pre-approval by the supervisor and training coordinator.
 - b. Videotapes and audiotapes. COJET hours may be granted for watching a video or listening to an audio tape accredited according to standards herein and pre-approved by a supervisor and training coordinator. If granted, COJET hours shall be accredited on a one-for-one credit basis. Local training coordinator or education services shall maintain a list of accredited tapes.
 - c. Books. COJET hours may be granted for reading and evaluating a book accredited according to standards herein and pre-approved by a supervisor and training coordinator.
 - d. Computer-based training. COJET hours may be granted for completing computer-based (CBT) or web-based (WBT) training accredited according to standards herein and pre-approved by a supervisor or training coordinator. Facilitator-led programs are eligible for hour-for-hour participation credit. Self-paced programs shall be pre-approved for credit hours by the training coordinator.
 - e. Serving as faculty. COJET hours may be granted to faculty who teach a COJET-accredited class. COJET hours shall be granted at the rate of three-for-one the first time the faculty member teaches the accredited class. COJET hours shall be granted at the rate of two-for-one for the second and each consecutive time the faculty member teaches the accredited class.
 - f. Serving as facilitator. COJET hours may be granted to a program facilitator at the rate of two-for-one for the time spent facilitating the program (broadcast facilitators, probation officer certification academy facilitators, etc.). Qualified facilitators shall earn facilitator credit for their work if pre-program training is included.
 - g. COJET hours may be granted for participating in court-related visits, tours, observations of court proceedings, and probation ride-alongs as described herein. Visits and tours may include jail or prison tours. Programs shall be accredited as provided in subsection (E)(1).
7. College courses. Credit for college courses may be accredited for two-for-one credit per college credit hour. The local training coordinator accredits these programs. Subject areas are at the discretion of the individual agency. Topics may include, but are not limited to, the following:
- a. Organizational development, planning, and evaluation;
 - b. Management development, career options, and productivity;

- c. Supervisory training, case review, and performance appraisal (for example, interviewing, feedback, conducting meetings, and disciplinary action);
 - d. Team building, motivation, and job design;
 - e. Case flow and information management;
 - f. Space, facilities, equipment, and materials management;
 - g. In-service training, planning, and evaluation;
 - h. Budgeting, fiscal management, and quality control; and
 - i. Court, community, and agency relations.
8. Special status college courses. COJET or the local training coordinator may accredit special status college courses. Requests for accreditation of special status courses may be made for a series of courses in a curriculum or on a case-by-case basis for a specific class, and may be made by institutions offering courses using “Application for COJET Accredited Status by an Organization or Institution” or by individuals taking a university course. To receive credit, individuals shall send a written request to the education services division or their local training coordinator, providing the information outlined. These programs are eligible for COJET credit on a one-for-one basis for each hour of classroom attendance, up to the full sixteen hours in any COJET-reporting year.
9. Review of denial of accreditation. All appeals shall be made in writing no later than December 15 of each calendar year.
- a. Appeals of locally denied credit shall be submitted in writing to education services staff for review and determination.
 - b. Appeals of the education services division determination shall be submitted in writing to the COJET chair in care of the education services division director for presentation to the COJET executive committee. The COJET executive committee shall review the request, make a determination and reply to the applicant within fifteen business days of request.
10. Sponsor accreditation. Any person or organization with five years experience sponsoring continuing education programs may apply for status as a COJET-accredited sponsor. Sponsors accepted for accreditation will not be required to apply for accreditation of individual programs. Application to become a COJET-accredited sponsor shall be made by the person or organization seeking that status. Sponsors shall accredit their own programs using the criteria defined in subsection (E)(1). The sponsor’s typed application shall contain the following information:
- a. Whether or not the applicant is a non-profit organization;

- b. Information with respect to number of courses offered, location of courses and subject matter;
 - c. An estimate of the percentage of courses sponsored that relate to continuing judicial education as compared to courses that do not relate to continuing judicial education;
 - d. Description of the experience and qualifications of each person involved in sponsoring, lecturing, teaching, or moderating of the program;
 - e. Whether or not the sponsor is accredited by other organizations;
 - f. The approximate number of courses offered by the applicant in the preceding three years including location of courses, subject matter, number of credits given, number of persons attending, total number of hours of instruction and the names of the speakers, instructors, lecturers or moderators; and
 - g. A statement of willingness by the applicant to comply with COJET requirements for verification of attendance.
11. Upon receipt of the application, COJET will accept or deny and will promptly notify the applicant of the decision. COJET reserves the right, at any time, to condition or terminate the COJET-accredited status of any person or organization.

F. Compliance.

- 1. Full-time or part-time employees. All full-time and part-time judges and court personnel governed by these standards shall complete an annual minimum of sixteen hours of continuing education, including an ethics related course and job specific training..
- 2. New full-time or part-time employees. All new full-time or part-time judges and court personnel governed by these standards shall complete the following minimum requirements during their first calendar year of employment according to the following prorated schedule:

Employed During	Minimum requirements
January 1 – March 31	Twelve hours of required training & education, including orientation, ethics and core curricula as applicable.
April 1 – June 30	Eight hours of required training & education, including orientation, ethics and core curricula as applicable.
July 1 - September 30	Four hours of required training & education, including orientation, ethics and core curricula as applicable.
October 1 – December 31	Orientation and ethics as appropriate to the job position.

G. Non-Compliance.

1. Judges. Judges who fail to comply with the standards and are not exempt are subject to disciplinary action in accordance with applicable canons of the Code of Judicial Conduct.
2. Probation and court personnel. Court personnel who fail to comply and are non-exempt may be subject to disciplinary action by their respective administrative authorities.

H. Exemptions.

1. Permanent exemptions. Temporary or on-call personnel, other than judges and law clerks, are exempt from compliance with the standards unless ordered to participate in educational programs by their respective chief judge or presiding judge.
2. Temporary exemptions. Upon request, the chief justice, the chief judge, the presiding judge of the superior court in each county, or their designees may grant exemptions to judges and employees of their court for temporary circumstances, including but not limited to:
 - a. Medical or other physical conditions preventing active participation in educational programs;
 - b. Extended, approved leave of absence;
 - c. Military leave;
 - d. Extended jury duty;
 - e. Temporary or permanent medical waivers for defensive tactics courses, in accordance with ACJA §6-107 (G)(10).
3. Qualifications for temporary exemption. To qualify for an exemption, an employee shall demonstrate a good faith effort to comply by acquiring COJET credit hours on a pro rata basis of four hours per quarter. An employee on medical or other leave may also qualify for an exemption from the core curricula and ethics requirements.

I. Certification and Reporting Procedures.

1. Individual compliance. Employees shall file compliance forms with designated training coordinators at the appropriate court or county level in accordance with local policies and procedures.
2. Department reporting.
 - a. The local training coordinator shall compile the compliance reports for all individuals in their court or department.

- b. The chief justice, the chief judge, the presiding judge of the superior court in each county, or their designee shall certify compliance, non-compliance, and exemptions by filing consolidated compliance reports for their respective courts or counties each year for the calendar year beginning January 1 and ending December 31.
 - c. The local training coordinator shall submit these reports no later than January 31 of each calendar year to the education services division using approved reporting formats. Reporting shall include:
 - (1) The number of compliant individuals;
 - (2) Number of non-compliant individuals, including name, department, reason for non-compliance and completed hours;
 - (3) Number of individuals exempt from compliance, including name, department, reason for exemption, completed hours, and duration of leave, as appropriate;
 - (4) List of all locally sponsored-programs;
 - (5) Documentation by the chief justice, the chief judge, the presiding judge of the superior court in each county to grant exemptions from compliance;
 - (6) The chief justice, the chief judge, the presiding judge of the superior court in each county definition of temporary staff; and
 - (7) Other information as requested by COJET, the AOC or the education services division.
3. County reporting. Education services division staff shall compile and distribute final countywide reports to county superior court presiding judges for final approval. Education services shall compile county reports into a statewide report for submission to the chief justice no later than February 28 of each year.

J. Requirements for Judges.

- 1. Educational requirements.
 - a. Judges shall participate in orientation as applicable to their position and jurisdiction.
 - b. Judges shall complete a minimum of sixteen hours of approved course work each year, including ethics training and attendance at an annual judicial conference designated by the supreme court. A judge may be excused from the conference only if a written request is approved by the chief justice.
- 2. Participant's responsibilities. As required by Canons 3B (2) and (13) of the Code of Judicial Conduct, judges shall obtain and maintain professional competence through judicial education, spend such time as may reasonably be required to accomplish that purpose, support and assist other judges who may be attending judicial education programs as participants or faculty and, when reasonably able to do so, teach in judicial or legal education programs. In addition, judges shall report compliance with the standards by completing the reporting requirements described in subsection (I).

3. Educational standards.
 - a. Judicial education shall address relevant areas such as judicial competence, performance, case management, opinion writing, and administration.
 - b. Judicial education programs are designed to impart knowledge, improve skills and techniques and increase the understanding of judges regarding their responsibilities and their impact on the judicial process, the people involved, and society. Judicial education programs shall include:
 - (1) Orientation for new judges on procedures and functions of the applicable court and relevant procedural and substantive law;
 - (2) Education for new judges on major legal subjects and practical skills needed by them and appropriate to the jurisdiction of the court in which they serve;
 - (3) Periodic educational offerings for all judges on the substantive, procedural, and evidentiary laws of Arizona, the ethics of the profession, United States constitutional law and applicable federal law;
 - (4) Continuing education programs emphasizing new developments in the law and judicial administration, procedural and technological developments in the judicial system, opinion writing, and other programs to enhance the efficiency, abilities, and knowledge of each judge;
 - (5) Advanced and specialized programs; and
 - (6) Independent learning opportunities for judges including bench books, video and audio tapes, and other methods of independent learning.
4. Continuing education. Judges may attend a combination of approved local, regional or national programs in addition to the annual Arizona judicial conference. Judges should attend a program of regional or national scope at least once every three years to satisfy these requirements.
5. Appellate court judges.
 - a. Orientation. Before assuming office, or within twelve months after appointment, an experienced judge of the court in which a new appellate judge will serve shall provide an orientation on administrative procedures and operation and procedural and substantive law applicable to that court.
 - b. Continuing education. At least every second year, the chief judges of the court of appeals, in satisfaction of these requirements, should attend the annual seminar or meeting of the Council of Chief Judges of Courts of Appeals.
6. Superior court judges.
 - a. Orientation. Before assuming office, or within the first twelve months of assuming office, a new superior court judge shall receive orientation by an experienced judge of the superior court and shall complete the orientation requirements for judges of

general jurisdiction courts approved by COJET. Such orientations shall include procedures and functions of the superior court and applicable procedural and substantive law.

- b. Bench assignment. The presiding judge of the court shall determine if a superior court judge shall attend an approved program before assuming a new assignment in a specialized division. The judge shall complete the specialized dependency-training program approved by COJET before assuming a new assignment that involves dependency cases, or within the first twelve months of assuming the assignment.
7. Judges of limited jurisdiction courts. Judges shall participate in orientation provided by an experienced judge of a limited jurisdiction court and shall attend the orientation program for judges of courts of limited jurisdiction approved by COJET before assuming office, or within the first twelve months of assuming office. Such orientations shall include information regarding administrative procedures and functions and procedural and substantive law applicable to the court in which the judge serves.
 8. Judges pro tempore. Judges pro tempore are officers of the court and have all of the duties and responsibilities of a judge. Proper orientation and continuing education assure that judges pro tempore are provided with information, process descriptions and procedures necessary to fulfill their judicial role. Judges pro tempore shall be subject to the following requirements:
 - a. Superior court judges pro tempore.
 - (1) Full-time superior court judges pro tempore serving the full term allowed by statute shall:
 - (a) Complete new judge orientation requirements for superior court judges approved by COJET before assuming duties, or within the first twelve months of assuming duties;
 - (b) Complete sixteen hours of continuing education, including ethics; and
 - (c) Attend the annual judicial conference.
 - (2) Part-time superior court judges pro tempore appointed to serve less than full-time, but serving repeatedly on a regularly scheduled basis, shall:
 - (a) Complete a specialized training program approved by COJET before assuming duties, or within the first twelve months of assuming duties; and
 - (b) Complete other training deemed appropriate by the presiding judge of the court.
 - (3) On-call judges pro tempore shall complete training deemed appropriate by the presiding judge of the court.

b. Limited jurisdiction judges pro tempore.

- (1) All limited jurisdiction judges pro tempore shall receive orientation by an experienced judge of a limited jurisdiction court before assuming duties. Only the presiding judge of the superior court in the respective county may waive this requirement.
- (2) Full-time limited jurisdiction judges pro tempore shall:
 - (a) Complete the requirements of new judge orientation for limited jurisdiction judges approved by COJET prior to assuming duties, or within twelve months of assuming duties; and
 - (b) Complete sixteen hours of continuing education, including ethics, and shall attend the annual judicial conference.
- (3) Part-time limited jurisdiction judges pro tempore shall complete COJET-approved training before assuming duties.
- (4) The presiding judge of the superior court in the respective county may require part-time limited jurisdiction court judges pro tempore to complete other training deemed appropriate. Judges pro tempore shall sign an affidavit verifying completion of the required training. The local court shall submit the affidavit to the presiding judge of the superior court in the respective county.
- (5) The presiding judge of the county may delegate the determination of training needed for on-call judges pro tempore in justice and municipal courts to the municipal or justice court presiding judge.
 - (a) Before assuming duties, a limited jurisdiction court judge pro tempore who serves on an on-call basis must complete COJET-approved training.
 - (b) The presiding judge of the superior court in the respective county may require limited jurisdiction court judges pro tempore who serve on an on-call basis to complete other training deemed appropriate.
 - (c) A judge pro tempore in a limited jurisdiction court shall sign an affidavit verifying completion of the required training. The local court shall submit the affidavit to the presiding judge of the superior court in the respective county.

9. Civil traffic and small claims hearing officers. Hearing officers are officers of the court. Proper orientation and continuing education assure that hearing officers are provided with information, process descriptions and procedures necessary to fulfill their judicial role. Hearing officers shall be subject to the following requirements:

- a. All hearing officers shall complete a specialized training program approved by COJET before assuming, or within twelve months of assuming, hearing officer duties.
- b. Paid hearing officers shall complete a minimum of sixteen hours of approved course work each year, including ethics training. Course work may include attendance at an annual judicial conference designated by the supreme court.

- c. Volunteer hearing officers shall not be subject to the continuing education requirements of COJET. The presiding judge of the superior court in the respective county may require that volunteer hearing officers complete particular training programs.

K. Standards for Administrators, Clerks and Court Personnel.

1. Program requirements. Administrators, clerks and court personnel shall, at minimum, complete orientation within 90 days of hire and complete sixteen hours of approved course work each year, including ethics and core curricula training.
2. Core curricula requirements. The court has identified the following areas of education as essential to effective performance of duties in the court system: the Arizona court system, including current or local issues; and working and communicating effectively with others. An overview of these areas is required as part of orientation with the first 90 days of employment. In addition, at least one course in each area is required every other year. These areas of education may have programs bearing different titles.
3. Participant's responsibilities. Administrators, clerks and court personnel shall obtain and maintain professional competence through court-related education programs and shall report compliance with the standards by completing the reporting requirements described in subsections (F) and (I).
4. Curriculum standards. Court-related education and training programs shall:
 - a. Address the areas of proficiency, competency, and performance;
 - b. Impart knowledge and understanding of the Arizona judiciary and the legal process and increase the participant's understanding of the responsibilities of his or her position and its impact on the judicial process; and
 - c. Include:
 - (1) Local orientation within 90 days of hire or appointment;
 - (2) Attendance, as applicable, at local and regional seminars for clerks of each court;
 - (3) Advanced and specialized programs, if available and appropriate; and
 - (4) Independent learning opportunities including procedure manuals, video tapes, audio tapes and other methods of independent learning.
5. Orientation. Orientation for administrators, clerks, probation and court personnel shall take place upon assuming duties, but no later than 90 days; 30 days is recommended. Orientation shall include:
 - a. Explanation of the specific responsibilities of the office involved and familiarization with court structure and procedures; and

b. Overview of:

- (1) The Arizona judiciary, including the structure and function of each court;
- (2) Current issues in the courts, such as the Americans with Disabilities Act and ethics and diversity issues;
- (3) Expectations when dealing with the public in the courts;
- (4) An introduction to effective communication skills for court employees; and
- (5) Local court related issues.

6. Continuing education.

a. Administrators, clerks and court personnel shall complete training in the following two core curricula, to be alternated annually as determined by COJET:

- (1) Courses dealing with current and local issues within Arizona's court system; and
- (2) Courses dealing with public service, effective communication, customer service, or diversity.

b. Administrators, clerks, probation and court personnel shall also take courses, as applicable, in areas dealing with:

- (1) Rules, statutes, and constitutional provisions applicable to the requirements of each position;
- (2) Recording procedures, filing procedures and records management;
- (3) Courtroom procedures;
- (4) Court clerk training;
- (5) Jury management;
- (6) Uniformity of forms and procedures at each level of the court system;
- (7) Use of the Arizona Revised Statutes;
- (8) Financial, personnel, and time management; and
- (9) Public relations.

c. Court personnel shall attend periodic local training programs and a state or regional training program at least once every three years.

d. Court administrators, managers and directors should attend at least one out-of-state or one in-state program within each three-year time period, conducted by an established, nationally recognized training organization, such as:

- (1) Institute for Court Management;
- (2) National Council of Juvenile Court Judges;
- (3) National Judicial College;
- (4) National College of Juvenile Justice;
- (5) National Center for State Courts;
- (6) Institute of Judicial Administration; and
- (7) National Association for Court Managers.

7. Training coordinators. Staff who serve as training coordinators shall attend the training coordinator orientation from the administrative office of the courts within the first 90 days of appointment.

L. Standards for Probation Personnel.

1. Program requirements. Probation personnel shall complete orientation within 90 days of hire. Probation personnel shall also complete a minimum of sixteen hours of approved course work each year, including training in ethics and core curricula.
2. Core curricula requirements. The court has identified the following areas of education as essential to effective performance of duties in the court system: the Arizona court system, including current or local issues; and working and communicating effectively with others. An overview of these areas is required as part of orientation with the first 90 days of employment. In addition, at least one course in each area is required one every other year. These areas of education may have programs bearing different titles.
3. Continuing education. Probation personnel shall complete training in the following two core curricula, to be alternated annually as determined by COJET:
 - a. Courses dealing with current and local issues within Arizona's court system; and
 - b. Courses dealing with public service, effective communication, dealing with the public, and/or diversity.
4. Participant's responsibilities. Probation personnel shall obtain and maintain professional competence through court-related education programs and shall report compliance with the standards by completing the reporting requirements described in subsections (F) and (I).
5. Curriculum standards.
 - a. Training and staff development is defined as the human resource area that focuses on helping staff, through planned learning techniques, develop the key competencies needed to perform their current or future jobs. Court-related education shall address the areas of proficiency, competency, and performance.
 - b. Training and staff development programs are designed to impart knowledge, improve skills and techniques and increase probation officers' understanding of their responsibilities and their impact on the judicial system, clients and society, and shall offer programs that meet the educational needs of probation officers at various stages of their careers.

- c. Court-related education and training programs are designed to impart knowledge and understanding of the Arizona judiciary and the legal process and increase the participant's understanding of the responsibilities of the position and its impact on the judicial process.
 - d. Court-related education and training programs shall include:
 - (1) Orientation prior to assumption of caseload and casework responsibilities;
 - (2) Education for new probation officers on major probation subjects and practical skills needed by them and appropriate to the agency in which they work;
 - (3) Continuing education for probation staff emphasizing new developments in the probation field, procedural developments in the judicial system and training needed to enhance individual efficiency and abilities;
 - (4) Periodic educational offerings for management staff in organizational development and supervisory skills;
 - (5) Advanced and specialized programs for chief probation officers; and
 - (6) Independent learning opportunities for probation staff including video and audio tapes, and other methods of independent learning.
6. Standards for new probation officers.
- a. Orientation. Prior to assumption of caseload and casework responsibilities, all new adult and juvenile probation officers shall receive orientation within the first 90 days of employment. Specific subject areas shall include, but are not limited to:
 - (1) Agency mission, goals and objectives;
 - (2) Agency policies and procedures;
 - (3) Utilization of agency resources;
 - (4) Role of the professional probation officer;
 - (5) Court philosophy, judicial system roles, responsibilities and inter-relationships;
 - (6) Rules of criminal procedure, the criminal code, and sentencing alternatives (adult);
 - (7) Personnel procedures, performance appraisal, affirmative action, grievance procedures;
 - (8) Privacy, security and confidentiality of records; and
 - (9) Philosophy of staff development, training requirements and specific job responsibilities.
 - b. Probation officer certification academy. All new adult and juvenile probation officers shall successfully complete the probation officer certification academy within the first twelve months of employment. Specific training areas shall include, but are not limited to:
 - (1) Ethics;
 - (2) Courtroom testimony, responsibilities and behavior;
 - (3) Legal liabilities and issues in probation;

- (4) Understanding and managing probationer behavior;
 - (5) Role of alcohol abuse in delinquent and criminal behavior;
 - (6) Role of substance abuse in delinquent and criminal behavior;
 - (7) Role of lack of employment in delinquent and criminal behavior;
 - (8) Utilizing community resources;
 - (9) Listening and communication skills;
 - (10) Effective writing skills;
 - (11) Interviewing techniques; and
 - (12) Interpersonal skills.
- c. Officer safety orientation. All new adult and juvenile probation and surveillance officers shall successfully complete the eight-hour officer safety orientation within 30 days of hire in accordance with ACJA § 6-107 (g)(10).
- d. Defensive tactics academy. In the first twelve months of employment, all new adult and juvenile probation and surveillance officers shall successfully complete the defensive tactics academy in accordance with ACJA § 6-107 (g)(10).
7. Standards for probation and surveillance officers.
- a. All adult and juvenile probation and surveillance officers shall successfully complete, at minimum:
- (1) Orientation and initial training within 90 days of hire;
 - (2) Sixteen hours of approved training annually, including training in ethics and core curricula;
 - (3) Eight hours annually of defensive tactics refresher training, in accordance with ACJA § 6-107 (g)(10);
 - (4) Firearms training, as provided by ACJA § 6-113, for officers authorized to carry a firearm; and
 - (5) Successful completion of the Institute for Intensive Probation training within twelve months of assignment for all officers assigned to the intensive probation supervision (IPS) program.
- b. Training may be obtained in-house or outside of the agency. Approved, job-related college credit shall be applied to no more than eight of the sixteen-hour requirement upon successful completion of a course. Subject areas are at the discretion of the individual agency. Topics may include, but are not limited to:
- (1) Case classification, risk and needs assessment;
 - (2) Officer safety and discretion in the field;
 - (3) Cultural awareness;
 - (4) Caseload management and case planning;
 - (5) Creative problem-solving and decision-making;
 - (6) Role awareness, judgment and situational leadership;

- (7) Specialized caseloads (alcohol, drugs, mental illness, white collar crime, gangs, sexual abusers);
 - (8) Introduction to intensive probation; and
 - (9) Personnel practices and procedures.
8. Standards for probation management staff. All adult and juvenile probation management staff shall successfully complete, at minimum:
- a. Orientation and initial training within 90 days of hire;
 - b. Sixteen hours of approved training annually, including:
 - (1) Ethics;
 - (2) Core curricula; and
 - (3) Eight hours of defensive tactics refresher training annually, in accordance with ACJA § 6-107 (g)(10).
 - c. Firearms training as required by ACJA § 6-113 for officers authorized to carry a firearm.
9. Standards for probation training staff. Adult and juvenile probation agencies in counties with a population of over 500,000 shall have a full-time training department supervised by a qualified employee at the supervisor or higher management level. The training staff shall dedicate all of their time to training and training-related activities. Every training officer shall complete:
- a. Orientation and initial training within 90 days of hire; and
 - b. Sixteen hours of approved training annually, including:
 - (1) Ethics;
 - (2) Core curricula; and
 - (3) Train-the-trainer activities each year.
10. Standards for chief probation officers. Every chief probation officer or director of court services shall complete:
- a. Orientation and initial training within 90 days of hire;
 - b. Sixteen hours of approved training annually, including:
 - (1) Ethics;
 - (2) Core curricula; and
 - (3) Eight hours of refresher defensive tactics annually per ACJA § 6-107(g) (10);

- c. Firearms training as required by ACJA § 6-113 for officers authorized to carry a firearm; and
- d. At least one out-of-state or in-state program, within each three-year time period, conducted by an established, nationally recognized training organization such as:
 - (1) National Institute of Corrections;
 - (2) Institute for Court Management;
 - (3) National Council of Juvenile Court Judges;
 - (4) American Corrections Association;
 - (5) National Judicial College;
 - (6) National College of Juvenile Justice;
 - (7) National Center for State Courts;
 - (8) Institute of Judicial Administration;
 - (9) Western Corrections Association;
 - (10) American Probation and Parole Association;
 - (11) National Association for Court Managers.

M. Funding and Financial Assistance.

1. Funding sources.

- a. State funds. The state of Arizona, through the supreme court, shall make funding available, whenever possible, for attendance of judges and court personnel at local, state and national judicial education programs.
- b. Local funds. Judges and administrators responsible for preparing court budgets shall request funds from their funding authority needed to support the educational requirements and efforts of judges and court personnel of their court. Increased state appropriations will be requested by the supreme court, when necessary, to fund compliance with educational standards and to enhance the quality of educational programs.

2. Financial assistance.

- a. Programs within the state. Available supreme court education funds set aside for direct financial assistance shall be used first to support mandatory in-state orientation programs for new judges and other education priorities established by the chief justice.
- b. Programs outside the state. Supreme court education funds available for direct financial assistance may be available for attendance at national judicial college or national center for state courts programs.

- (1) Available funds shall be used in order of the following priorities:
 - (a) Newly elected or appointed judges seeking to attend a suitable comprehensive resident national judicial education program or school.
 - (b) Judges and court personnel who have complied with the education standards but have been unable to attend a suitable comprehensive resident national judicial education program or school within the first two years of their taking office.
 - (c) Judges and court personnel who have complied with the education standards and who last attended a resident national judicial education program or school three or more years prior to seeking financial assistance from the supreme court.
 - (d) Judges and court personnel seeking to attend non-resident seminars and educational conferences applicable to their judicial responsibilities and jurisdictional level who comply with these standards.
 - (e) Where an urgent or critical need exists, a judge may be considered for direct financial assistance more than once during the same year, assuming funds are available and taking into account the needs of other judges in the categories listed above.
 - (2) When funding is available, judges and court personnel seeking to apply for financial assistance shall contact the education services division for a scholarship application.
- c. Tuition and conference fees. State funds may be available on a limited basis to pay tuition or registration fees for approved programs. These funds, when available, may be requested through the AOC. State funds shall not be used to pay conference fees or program charges such as fees for sporting events, excursions, plays, or social activities. When meals are included in conference fees, individual participants can request reimbursement of meal-related expenditures at the approved state rate.

N. Social Programs. When appropriate, social programs may be initiated and developed by professional associations. Social events held in conjunction with education programs shall not interfere with education sessions. All programs held in conjunction with COJET or education services sponsored events shall be coordinated with the education services staff.