

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS
<p><u>Ariav, Alan N.</u> 02/04/10 DC No. 08-2141</p>	<p>During oral argument, Respondent made false and misleading statements to the court and to opposing counsel that his client had settled a separate lawsuit and was making monthly payments. ERs 3.3(a)(1), 4.1(a), 8.4(c) and 8.4(d)</p>	<p>Accept Agreement one year Suspension (retroactive) and two years of Probation</p> <p style="text-align: center;">View Report</p>	<p>Accept Agreement for one year Suspension (retroactive) and two years of Probation</p> <p style="text-align: center;">View Report</p>	<p>No discretionary or <i>sua sponte</i> review</p> <p style="text-align: center;">View Order</p>	<p>In aggravation: 9.22(a) (c) and (i) In mitigation: 9.32(d) Mental state: knowing Potential injury</p>
<p><u>Corea, Thomas M.</u> 01/22/10 DC No. 08-1267 SB-10-0007-D (By Judgment)</p>	<p>In a request for telephonic hearing, Respondent claimed to have a scheduling conflict and attached a Notice of Hearing in another case that had been dismissed as supporting documentation. Respondent was found in contempt of court, barred from practice in that court, and ordered to transfer his client files to successor counsel. Respondent delayed in transferring his cases to successor counsel and a second contempt citation was imposed. ERs 1.16 and 8.4(d)</p>	<p>Accept Agreement for Censure</p> <p style="text-align: center;">View Report</p>	<p>Accept Agreement for Censure</p> <p style="text-align: center;">View Report</p>	<p>No discretionary or <i>sua sponte</i> review</p> <p style="text-align: center;">View Order</p>	<p>In aggravation: 9.22(b) (d) and (i) In mitigation: 9.32(a) (e) and (k) Mental state: negligent Actual injury</p>

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS
<p><u>Petersen, Nancy D.</u> 01/05/2010 DC No. 08-1964 (By Order)</p>	<p>Respondent failed to adequately communicate with her client and to comply with reasonable requests for information. Respondent improperly used a retainer agreement for the representation instead of a flat fee agreement. ERs 1.4(a)(3), 1.4(a)(4), 1.5 and 8.4(a)</p>	<p>Informal Reprimand and Two years of probation (LOMAP and MAP) View Report</p>	<p>Informal Reprimand and Two years of probation (LOMAP and MAP) View Report</p>	<p>N/A</p>	<p>In aggravation: 9.22(a) In mitigation: 9.32(b) (c) (d) and (l) Mental state: negligent Potential injury</p>
<p><u>Renard, Stephen J.</u> 02/04/10 DC No. 08-0822 SB-09-0120-D (By Judgment)</p>	<p>Respondent failed to comply with the terms of probation imposed in SB-09-0042-D (2008) Rule 53(e)</p>	<p>Six month and one day suspension and two years of Probation (LOMAP/MAP and EEP) View Report</p>	<p>Six month suspension and two years of Probation (LOMAP/MAP and EEP) View Report</p>	<p>No discretionary or <i>sua sponte</i> review View Order</p>	<p>In aggravation: 9.22(a) (c) and (d) No mitigating factors Mental state: knowing Actual and potential injury</p>

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS
<p><u>Stoltman, Stephanie C.</u> 01/27/10 DC No. 08-2276 SB-10-0006-D (By Judgment)</p>	<p>Respondent failed to adhere to trust account rules and guidelines in managing her client trust account. Specially, Respondent failed to safeguard client funds, maintain complete records of the handling, maintenance and disposition of all client funds. Respondent also failed to conduct monthly, three-way reconciliations of her trust account. ER 1.15 and Rules 43 and 44.</p>	<p>Accept Agreement for Censure and one year of Probation (LOMAP/EEP) View Report</p>	<p>Accept Agreement for Censure and one year of Probation (LOMAP/EEP) View Report</p>	<p>No discretionary or <i>sua sponte</i> review View Order</p>	<p>No factors in aggravation: In mitigation: 9.32(a) (b) and (e) Mental state: negligent No actual injury</p>
<p><u>Vakula, Nicholas A.</u> 02/04/10 DC No. 09-0516 SB-10-0011-D (By Judgment)</p>	<p>Respondent engaged in a conflict of interest with clients and provided financial assistance to clients in connection with pending claims or litigation. ERs 1.7, 1.8 and 8.4(a)</p>	<p>Accept Agreement for Censure View Report</p>	<p>Accept Agreement for Censure View Report</p>	<p>No discretionary or <i>sua sponte</i> review View Order</p>	<p>In aggravation: 9.22(a) (d) (h) and (i) In mitigation: 9.32 (b) (e) (g) (l) and (m) Mental state: negligent Potential injury</p>

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

**SUPREME COURT OF ARIZONA
DISCIPLINARY CASES MATRIX
(JANUARY 1 – DECEMBER 31, 2010)**

CASE NAME DATE/NUMBER	VIOLATIONS DESCRIPTION DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	DISCIPLINARY COMMISSION RECOMMEND	SUPREME COURT SANCTION	COMMENTS

Last Updated on: 3/1/2010

Y:\ATTORNEY DISCIPLINE\Disciplinary Cases Matrix\2010\2010Matrix.doc